



RECEIPT #1  
PATENT  
#4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): David M. Ungar

Title: DYNAMIC COMPILATION CONTROL

Application No.: 10/044,112

Filed: October 24, 2001

Examiner: (not yet assigned)

Group Art Unit: 2122

Atty. Docket No.: 004-3307

Conf. No.: 8053

March 18, 2002

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Dear Sir:

Applicants hereby request the Commissioner of Patents and Trademarks to correct the Official Filing Receipt for the above-identified patent application as follows:

- ☐ Correct the Filing Date
- ☐ Correct Continuing Data
- ☐ Correct Applicant(s)
- ☐ Correct Title
- ☐ Correct Attorney Docket Number
- ☐ Correct Assignee Data
- ☐ Correct Publication Data
- ☒ Other: Correct number of Drawings filed

This Request is submitted for the following reasons:

The number of Drawings should be 4, instead of 3, as evidenced by the Application Data Sheet and by the Application Transmittal Form on October 24, 2001 (copies attached hereto).

Additionally, attached is a copy of the Filing Receipt having the above-described error corrected in red ink. Therefore, it is respectfully requested that a corrected Filing Receipt be issued.

If this request does not lead to the correction of the Filing Receipt, please contact the Applicant's attorney at number indicated below.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'M. P. Noonan', followed by a long horizontal line extending to the right.

Michael P. Noonan, Reg. No. 42,038  
Attorney for Applicant(s)  
(512) 347-9030  
(512) 347-9031 (fax)



DOCKETED  
MAR 15 2002

RECEIVED  
ZAGORIN O'BRIEN & GRAHAM, L.L.P.  
MAR 11 2002

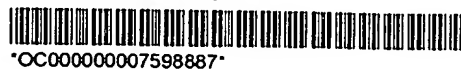
UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/044,112	10/24/2001	2122	842	004-3307	(3) 4	21	4

22120  
ZAGORIN O'BRIEN & GRAHAM LLP  
401 W 15TH STREET  
SUITE 870  
AUSTIN, TX 78701

FILING RECEIPT



\*OC000000007598887\*

CONFIRMATION NO. 8053

Date Mailed: 03/07/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

David M. Ungar, Mountain View, CA;

**Assignment For Published Patent Application**

Sun Microsystems, Inc.;

**Domestic Priority data as claimed by applicant**

**Foreign Applications**

If Required, Foreign Filing License Granted 03/06/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

**Title**

Dynamic compilation control

**Preliminary Class**

717

COPY

#3



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October 24, 2001

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No.: 004-3307

Transmitted herewith for filing is a patent application as follows:

Inventor(s): David M. Ungar  
Title: DYNAMIC COMPILATION CONTROL  
Assignee: Sun Microsystems, Inc.

Enclosed are:

- ☒ Application Data Sheet (2 page(s))
- ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) (1 page(s))
- 32 Pages of Written Description (including Specification, Claims and Abstract)
- 4 Sheets of Drawings, ☒ Formal / ☐ Informal
- ☒ Declaration for Patent Application (2 pages), ☒ Executed / ☐ Unexecuted
- ☒ Assignment of the Invention (3 pages, including Cover Sheet)
- ☐ Information Disclosure Statement (\_\_\_\_\_ pages)  
☐ with Form(s) PTO 1449 (\_\_\_\_\_ page(s)) and copies of \_\_\_\_\_ reference(s)
- ☒ Other: Check in the amount of \$882.00
- ☒ This Transmittal Letter (in duplicate) (1 page(s)) ☒ Return Postcard

CLAIMS AS FILED

	Number Filed	Number Extra	Rate	Fee
Basic Fee =				740.00
Total Claims	21 - 20	= 1	x \$18.00 =	18.00
Independent Claims	4 - 3	= 1	x \$84.00 =	84.00
Multiple Dependent Claims (if any) - \$280.00 fee				0.00
Other: Assignment Recordation Fee				40.00
TOTAL FILING FEE				\$ 882.00

- ☐ Small entity status is entitled to be asserted for the application.
- ☒ A check is enclosed for the Total Filing Fee shown above.
- ☐ Please charge the Total Filing Fee shown above to Deposit Account 50-0631.
- ☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or 1.17 that may be required during the pendency of this application, and to similarly credit any overpayment, to Deposit Account 50-0631.

EXPRESS MAIL LABEL NO.:  
EL684226268US

Respectfully submitted,

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COPY